

JC

JCWSCS 27 DEC 2004

12-27-04; 11:59AM; SSMP

; 5167424366

# 1 / 5

SCULLY, SCOTT, MURPHY & PRESSER  
400 GARDEN CITY PLAZA  
GARDEN CITY, NEW YORK 11530

Telephone: (516) 742-4343  
Facsimile: (516) 742-4366  
E-Mail: [intprop@ssmp.com](mailto:intprop@ssmp.com)

FACSIMILE TRANSMISSION

To: U. S. Patent and Trademark Office Attention: Corrected Filing Receipt

Date: December ~~27~~, 2004

Fax # 703-746-9195 Pages 5

From: SCULLY, SCOTT, MURPHY & PRESSER

Re: Kevin J. Reardon  
U.S. Patent Appln. No. 09/933,602  
SYSTEM AND METHOD FOR  
BUSINESS ANALYSIS AND PLANNING  
Our Docket: 14775

COMMENTS:

**2<sup>ND</sup> REQUEST FOR CORRECTION**

The Filing Receipt for the above-identified Patent Application has the filing date incorrect and should read:

**FILING DATE: 08/21/2001.**

Please send to us a corrected Filing Receipt with the information as it is shown on the pages to follow.

Thank you.

If there are any problems concerning this facsimile, please call (516) 742-4343 and ask for Nalini at ext. 519.

**CONFIDENTIALITY:** The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right of publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/933,602	08/20/2001	3621	920	FIS920010165US1 (14775)	8	13	4

Steven Fischman Esq  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530

should Read: 08/21/2001

CONFIRMATION NO. 6766

## UPDATED FILING RECEIPT



\*OC000000014094887\*

Date Mailed: 10/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin J. Reardon, Riverside, CT;

## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY

## Power of Attorney:

LEOPOLD PRESSER-19827  
Kenneth King-24223  
Harold Huberfeld-26665  
Christopher Hughes-26914  
Eric Petraske-28459

T. Rao Coca-29784  
Ira D. Blecker-29894  
Joseph P. Abate-30238  
Frank S. DiGiglio-31346  
Edward A. Pennington-32588

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 09/21/2001

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US09/933,602**

Projected Publication Date: 01/20/2005

Non-Publication Request: No

Early Publication Request: No

Title

System and method for business analysis and planning

Preliminary Class

705

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



**FOR PICKUP OR TRACKING CALL 1-800-222-1811 [www.usps.com](http://www.usps.com)**

PAGE 4/5 \* RCVD AT 12/27/2004 10:59:15 AM [Eastern Standard Time] \* SVR:USPTO-EFXRF-2/0 \* DNIS:7469195 \* CSID:5167424366 \* DURATION (mm:ss):02:24

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. New Utility Patent Application Transmittal (in duplicate)
2. New Patent Application (26 pages of specification;  
including claims and abstract)
3. Eight (8) Sheets of Drawings

4

5

6

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. New Utility Patent Application Transmittal (in duplicate)
2. New Patent Application (26 pages of specification;  
including claims and abstract)
3. Eight (8) Sheets of Drawings
4. Information Disclosure Statement: PTO-1449 & references
5. Authorization to charge \$790.00 filing fee
6. Express Certificate of Mailing #EL793481434US

Applicants Kevin J. Reardon

For: A SYSTEM AND METHOD FOR BUSINESS ANALYSIS  
AND PLANNING

Docket: 14775 (FIS920010165US1)

Dated: August 21, 2001

SF/vjs

Jc872 U.S. PTO  
09/933602

Incorrect

should Read